
Compliance and Due Diligence Packaging Service

Compliance and Due
Diligence for
Investment Vehicle
Applications

For Clients

Compliance and Due Diligence Packaging Service

When you are seeking Alternative Investment Vehicles you are asked to provide a very particular set of application documents. These documents typically include a client application and various supporting documentation that will vary according to the specific investment program you are looking to enter.

Once submitted, this application and all of its supporting documentation will go through an arduous process of screening, due diligence and scrutiny. Unfortunately, the Investment group is not likely to help you fill out the documentation as that could create a conflict of interest and cause legal issues in the future—issues that could very well affect the investment itself.

While you may have a representative who is willing to assist with the paperwork, they may never have successfully completed an alternative investment and thus do not have a full understanding of the process itself. This possibility truly leaves you on your own to accurately fill out all the paperwork and supply the correct supporting documents as well.

Many applications only get one chance as most mistakes are seen as blatant and intentional, casting you and your information in a bad light. After witnessing so many qualified individuals and companies lose the opportunity to participate in many lucrative investments, we have decided to offer a service to address this issue.

Compliance and Due Diligence Packaging Service: Our Compliance and Due Diligence Packaging Service conducts a due diligence review of your application—in line with what Traders, Trade Attorney's, Compliance Officers and Investment Handlers would conduct. This will demonstrate to you the potential holes and weaknesses in your application package, thus allowing you the opportunity to remedy the very details or lack thereof that could be detrimental to its acceptance and submit the best possible application package the first time.

By engaging our services you will be given the ability to present a professional application backed by each and every necessary document to support your application and desired outcome, arranged in the manner that the Industry Professionals expect to see.

In addition to the above, we will discuss with you a typical process and what you may experience throughout the process along with the Do's and Don'ts when dealing with the Industry.

We will explain things to watch for, what are seen as red flags by all parties and when to walk away. We will also provide you with resources for terms and definitions so when you are taken to contract, you can be very clear with all the language.

Finally, we may chose to extend our services further to additional areas in order to meet your needs. These offers may not always be applicable and may not always be made to every client and will be at the sole discretion of our Firm. These services may include:

- *Transaction Coordinator*
- *Due Diligence and Compliance on potential Investment Vehicle on behalf of Client*
- *Paymaster Services*
- *Placement Services*

The Due Diligence and Packaging Service in a onetime fee with all other services based on the individuals or company's needs.

Please contact the representative for package pricing